

03/22/01  
JC973 U.S. PTO

03-23-01

DOCKET NO.: 1819/100131  
EXPRESS MAIL NO.: EL640135458US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

09/814634  
JC973 U.S. PTO  
03/22/01

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 124 pages) of:

Inventor(s): Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen

For : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES FOR AN IMAGING DEVICE**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

Continuation  Divisional  Continuation-In-Part (CIP) of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
Art Unit :

Enclosed are:

- 7 sheets of informal drawings.
- Signed Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- Copy of signed Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d)) (for continuation/divisional).
- Signed statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- Incorporation By Reference:** The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- Assignment Transmittal Letter.
- Certified copy of a foreign priority document.
- Associate power of attorney.
- Applicant claims small entity status. (See 37 CFR 1.27.)

Preliminary Amendment (    pages).

Information Disclosure Statement, form PTO-1449 (    pages) and    references.

**UNSIGNED** Combined Declaration and Power of Attorney (3 pages).

Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.

A self-addressed, prepaid postcard acknowledging receipt.

Other:

The Filing fee has been calculated as shown below:

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INDEP CLAIMS	6 - 3 =	3
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\*If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**

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Date: March 22, 2001

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**EXPRESS MAIL CERTIFICATE**

DOCKET NO. : **1819/100131**

APPLICANTS : **Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen**

TITLE : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING  
AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES  
FOR AN IMAGING DEVICE**

Certificate is attached to the **Utility Patent Application Transmittal Letter**  
**(2 pages) in duplicate** of the above-named application.

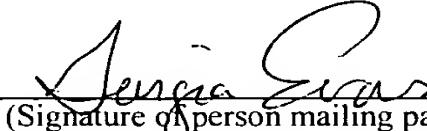
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Georgia Evans

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Certificate is attached to the **Informal Drawings (7 pages)** of the  
above-named application.

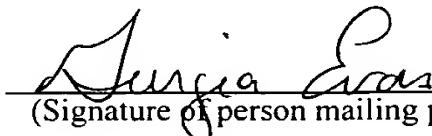
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